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3739

PATENT

L. Parks
9-19-02
#37/TDS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:
John D. Dobak, III, et al.

) Title: Method and Device for
) Performing Cooling- or
) Cryo-Therapies for e.g.,
) Angioplasty with Reduced
) Restenosis or Pulmonary
) Vein Cell Necrosis to Inhibit
) Atrial Fibrillation

Serial No.: 10/039,466

) Examiner: Kearney, R.

Filed: January 3, 2002

) Group Art 3739

) Unit:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (e) (1)

Commissioner for Patents
Washington, D.C. 20231

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The following items are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449

TECHNOLOGY CENTER 80700

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on:

August 16, 2002

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1. **Preliminary Statements**

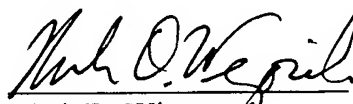
Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Each item of information contained in this Information Disclosure Statement was cited in a communication or search report from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. Said foreign communication or search report is dated 31 July 2002 and a copy of same is provided herewith in addition to the references cited therein.

No fee is believed to be due in connection with this submission as this Information Disclosure Statement is being filed within three (3) months of the date of the foreign communication or search report. However, if any fees are required in connection with this paper, authorization is hereby given to the Commissioner for Patents to charge same to Deposit Account No. 50-0914.

Date: Aug. 16, 2002


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